

IN THE SUPREME COURT OF ALABAMA  
May 22, 2019

ORDER

WHEREAS, the Supreme Court of Alabama is responsible for promulgating rules governing the practice of law in the State of Alabama and encouraging assistance to underserved populations needing access to the courts of Alabama for adjudication of their disputes;

WHEREAS, the Supreme Court of Alabama finds that greater oversight is appropriate to certify and confirm that interest and dividends earned from IOLTA accounts are allocated appropriately and spent properly; and

WHEREAS, the Supreme Court of Alabama desires to amend the Alabama Rules of Professional Conduct to institute certain reporting requirements for the foundations receiving and distributing IOLTA funds pursuant to Rule 1.15(k), Alabama Rules of Professional Conduct (currently the Alabama Law Foundation and the Alabama Civil Justice Foundation).

NOW, THEREFORE, IT IS ORDERED that Rule 1.15, Alabama Rules of Professional Conduct, be amended to add a new paragraph (o), which shall read in accordance with Appendix A to this order;

IT IS FURTHER ORDERED that this amendment is effective immediately;

IT IS FURTHER ORDERED that the quarterly report required under Rule 1.15(o), Alabama Rules of Professional Conduct, be in a form substantially similar to the form provided in Appendix B to this order; and

IT IS FURTHER ORDERED that the following note from the reporter of decisions be added to follow Rule 1.15:

"Note from the reporter of decisions: The order adopting Rule 1.15(o), effective May 22, 2019, is published in that volume of Alabama Reporter that contains Alabama cases from \_\_ So. 3d."

Parker, C.J., and Bolin, Shaw, Wise, Bryan, Sellers, Mendheim, Stewart, and Mitchell, JJ., concur.

**Witness my hand this 22nd day of May, 2019.**



**Clerk, Supreme Court of Alabama**

**FILED  
May 22, 2019  
10:51 am  
Clerk  
Supreme Court of Alabama**

APPENDIX A

(o) Beginning with the calendar quarter ending June 30, 2019, and continuing for each and every quarter thereafter, the foundations receiving interest or dividends pursuant to Rule 1.15(k), Alabama Rules of Professional Conduct, shall report to the Supreme Court of Alabama the total funds received from IOLTA accounts, the total funds distributed by the foundation, and a certification that the information reported to the Supreme Court is correct. Such report shall be filed with the Clerk of the Supreme Court of Alabama within 30 days after the end of each such calendar quarter. The quarterly report and the certification shall be in a form substantially similar to the form appended to this Rule 1.15.

APPENDIX B

IOLTA Foundation Disclosure Form  
(Rule 1.15(o))

Name of Foundation: \_\_\_\_\_

Date: \_\_\_\_\_

Report for Quarter Ending: \_\_\_\_\_

During the designated calendar quarter, the foundation identified above received \$ \_\_\_\_\_ pursuant to Rule 1.15(k) of the Alabama Rules of Professional Conduct:

Also during this calendar quarter, the foundation disbursed the following funds received from any source to the following organizations:

Name	Amount
1.	
2.	
3.	
4.	
TOTAL: \$ _____	

Of the total funds received by the foundation from all sources during this quarter, the following was spent for the following purposes:

Salaries and employment benefits	\$ _____
Rent	\$ _____
Travel	\$ _____
Utilities	\$ _____
Other	\$ _____
TOTAL: \$ _____	

CERTIFICATION

I, \_\_\_\_\_, certify that the information contained on this IOLTA Foundation Disclosure Form is true and correct to the best of my knowledge, after due inquiry and investigation.

\_\_\_\_\_  
Date

\_\_\_\_\_  
(Name)  
\_\_\_\_\_  
Foundation representative